

# Corporate Account Application Form (Singapore)



# Corporate Account Application Form

## 1. Services

Please select services required:

### DBS Vickers Securities (Singapore) Pte Ltd (Trading Account):

- Custodial Services [see Section D of General Trading Agreement ("GTA")]
  - Depository Agent Sub-Account ("DASA") for Singapore Shares (see Section G of GTA)
  - Nominees Account for Malaysian Shares - Please enclose a payment of SGD5.00 to open the account
- Online Trading Account<sup>1</sup>. If this option is selected, please tick ONE of the following:
  - Online Trading, with a dealer/remisier to service me
  - Self-directed Online Trading, without a dealer/remisier to service me

<sup>1</sup> A security deposit is required for Online Trading

## Documentary Requirements

### For Corporate Account Opening

1. Account Application Form
  - must be signed by two authorised signatories as listed on the Account Application Form under "Particulars of personnel authorised to deal on behalf of the company" and affixed with company stamp
2. Authorised Personnel Specimen Signature Form
  - represented on Company Letterhead
  - must be signed by Director(s) and/or Company Secretary
  - please affix company stamp
3. Certificate of Board Resolution
  - represented on Company Letterhead
  - must be signed by Director(s) and/or Company Secretary
  - please affix company stamp
4. Clear copies of NRIC / Passport of Director(s), Authorised Person(s), Principal Shareholder(s) and Ultimate Beneficial Owner(s)
  - Certified true copy by a Notary Public, Commissioner of Oath, Director or Company Secretary
5. Certificate of Incorporation
  - certified true copy
  - please include Certificate of Incorporation on Change of Name (if applicable)
6. Latest Audited or Management Accounts
  - compulsory and certified true copy
7. Memorandum & Articles of Association
  - certified true copy
8. Updated Biz file (formerly known as ROC) Instant Information Print-Out
  - applicable only for local incorporated companies
  - certified true copy if it's not an original
9. Particulars of Directors/Secretary
  - applicable for foreign incorporated companies
  - certified true copy
  - to include name, nationality, passport number, residential address and date of appointment of directors/secretary
10. Certificate of Good Standing and Certificate of Incumbency
  - from a law firm
  - applicable only for tax haven countries eg BVI, Bahamas
11. Letter of Guarantee
  - applicable only for tax haven countries eg BVI, Bahamas
12. W-8BEN Form
  - required if you wish to trade in the US Market
2. CDP25A Linkage Form
  - must be signed by two authorised signatories as listed on page 2
  - please affix company stamp
3. Certificate of Board Resolution
  - as set out on page 2 of CDP and must be signed by two signatories
  - please affix company stamp
  - additional board resolution may be submitted together with page 2
4. Certificate of Incorporation
  - certified true copy
  - please include Certificate on Change of Name (if applicable)
5. Updated Biz file (formerly known as ROC) Instant Information Print-Out
  - applicable only for local incorporated companies
  - certified true copy if it's a photocopy
6. Form 49 (where applicable - if updated information is not reflected in RCB Instant Information Print-Out)
  - obtainable from Biz file (formerly known as ROC) & must be certified true copy
  - applicable only for local incorporated companies
  - for foreign incorporated companies please submit certified true copy of: "Particulars of Directors/Secretary" (to include name, nationality, passport number, residential address and date of appointment of directors/secretary)
7. Letter of Undertaking
  - applicable for investment holding companies & banks (local & foreign)
8. Certificate of Good Standing
  - from a law firm and certified true copy if it's a photocopy
  - applicable only for tax haven countries eg BVI, Bahamas
9. Legal Opinion Letter
  - applicable only for tax haven countries eg BVI, Bahamas
  - from a law firm and addressed to The Central Depository Pte Ltd certifying to the CDP that requirements (1) to (4) have been satisfied:
    - (1) that all the legal requirements for opening a Securities Account in the country of its incorporation have been satisfied
    - (2) that the company has the capacity to contract with CDP under their Terms & Conditions for the operation of a Securities Account. (available on request)
    - (3) that the Certificate of Resolution on the prescribed form (page 2) has been validly passed according to the laws of the country of incorporation
    - (4) that the company has limited liabilities

\* Where application for both Trading Account with DBSV & GSA Account with CDP are simultaneous, only one Biz file Instant Information Print-Out or Certificate of Good Standing (where applicable) is required.  
\* Please note that only applicant company is privy to GSA A/C number allotted by CDP and should revert to DBSV in order for linkage to Trading A/C to be activated.

\* For foreign incorporated banks - include Letter of Undertaking. If operating a Singapore branch - include Form 83, Form 79, Biz file Print-Out, Power of Attorney & Letter of Undertaking.

### For Opening of Global Securities Account With the Central Depository Pte Ltd

1. Account Application Form (2 pages)
  - must be signed by two authorised signatories as listed on page 2 and affixed with company stamp on page 1 & 2

## 2. Corporate Data

Company Name \_\_\_\_\_

Registered Address \_\_\_\_\_

Correspondence Address \_\_\_\_\_

Tel No \_\_\_\_\_ Fax No \_\_\_\_\_

Email Address \_\_\_\_\_

Date of Incorporation \_\_\_\_\_ Place of Incorporation \_\_\_\_\_ Company Registration No. \_\_\_\_\_

Tax Residence (Please state country) \_\_\_\_\_

Country of Operation \_\_\_\_\_ Country of Parent (where applicable) \_\_\_\_\_

Nature of Business \_\_\_\_\_ Source of Funds \_\_\_\_\_

**Nationality of Major Shareholders** (Nationality of Shareholders owning more than 50% of the Company)

Singaporean  Malaysian  Others \_\_\_\_\_ (Please specify)

**Singapore Permanent Resident**  Yes  No

**Investment Objectives**

Capital Preservation  Income  Growth  Trading  Others \_\_\_\_\_ (Please specify)

Global Securities Account/Depository Agent Sub-Account No  -  -

## 3. Particulars of Shareholders

Name	Shareholding	Name	Shareholding
_____	_____	_____	_____
_____	_____	_____	_____

## 4. Board of Directors NRIC/Passport (Certified True Copy)

	Name in Full	Nationality	NRIC/Passport
Directors	1. _____	_____	_____
	2. _____	_____	_____
	3. _____	_____	_____
	4. _____	_____	_____
	5. _____	_____	_____

## 5. Particulars of Personnel Authorised to Deal on behalf of the Company NRIC/Passport (Certified True Copy)

Name	NRIC/Passport No	Position	Contact No	Specimen Signature
1. _____	_____	_____	_____	_____
2. _____	_____	_____	_____	_____
3. _____	_____	_____	_____	_____
4. _____	_____	_____	_____	_____
5. _____	_____	_____	_____	_____

## 6. Particulars of Personnel Authorised to Request & Receive Online Passwords NRIC/Passport (Certified True Copy)

Name	NRIC/Passport No	Position	Contact No	Specimen Signature
1. _____	_____	_____	_____	_____



## Customer Declaration (For Securities Trading, Online Trading and/or Other Account (s))

### Disclosure Consent

By signing this application, we authorize, consent and agree to the disclosure by DBS Vickers Securities (Singapore) Pte Ltd ("DBS Vickers") and/or any officer or agent of DBS Vickers at any time and from time to time of any and all information (including all particulars or transactions) in respect of any of our account(s) opened or maintained with DBS Vickers to any person and for any purpose described in the clauses under the heading "Disclosure of Information" in Section A of the DBS Vickers General Trading Agreement.

### General

#### Declaration and Agreement

In consideration of DBS Vickers processing our application to open any account and/or facilities or services in our name as requested in this application, we hereby declare, warrant and agree:

- (a) that all the information submitted above or otherwise in connection with this application are true and accurate in all respects and we will as soon as possible give DBS Vickers notice in writing of any change in particulars given in this application together with all the relevant documents;
- (b) we have read, understood and agreed that this application and the accounts applied herein shall be governed by DBS Vickers' General Trading Agreement as may be amended from time to time;
- (c) that DBS Vickers has the right not to approve this application at its sole and absolute discretion without any obligation to render any reason or explanation;
- (d) that we agree to abide by the prevailing rules and regulations of the Singapore Exchange Limited and its subsidiaries or any regulatory body or exchange (whether in or outside Singapore) as may be applicable to us and our transactions;
- (e) that we have read, understood and accepted the terms of the Risk Disclosure Statements, notifications and/or disclaimers as may be given to us, that such documents are not substitutes for our actually becoming reliably and adequately informed as regards any specific transaction contemplated and taking independent advice, and that we shall be solely responsible for any and all transactions we may enter into;
- (f) that where applicable, we shall supply any additional information and documentary proof and place a deposit on the value of the securities to be purchased as DBS Vickers may require in connection with the processing of this application and the opening, operation and maintenance of any account or facility or service provided by DBS Vickers;
- (g) that we will execute all documents and instruments (including any security documents) and do all acts and things as may be required by DBS Vickers in connection with the processing of this application and the opening, operation and maintenance of any account or facility or service provided by DBS Vickers;
- (h) (where there is more than one applicant) to be jointly and severally liable and responsible for all payments and obligations arising from our joint account(s) and facilities with DBS Vickers;
- (i) by signing this application, we (known as "Subscriber" in the New York Stock Exchange ("NYSE") and the Toronto Stock Exchange ("TSX Inc.") Inc. subscriber agreements), agree:
  - (1) that we have read the NYSE and TSX Inc. subscriber agreements, a copy of which is attached hereto;
  - (2) that we are bound by the NYSE and TSX Inc. subscriber agreements to the same extent as if we had signed such agreements with the NYSE and TSX Inc. directly;
  - (3) that TSX Inc. may enforce TSX Inc.'s rights pursuant to the TSX Inc. subscriber agreement in its own name directly against the Subscriber;
  - (4) that DBS Vickers is not an agent of NYSE and TSX Inc., and is not authorized to add or to delete from the NYSE and TSX Inc. subscriber agreements and is not authorized to modify any provision of the NYSE and TSX Inc. subscriber agreements; and
  - (5) that no provision has been added to or deleted from the NYSE and TSX Inc. agreements and that no modifications have been made to them. Both Subscriber and the person executing on behalf of subscriber warrant that Subscriber is legally able to undertake the obligations set forth in, and the signatory is duly authorized to bind Subscriber to, the NYSE and TSX Inc. subscriber agreements;
- (j) by executing this application, we (known as "Subscriber" in the NASDAQ subscriber agreement) agree:
  - (1) that we have read, and agree to bound by, the NASDAQ subscriber agreement, a copy of which is attached hereto;
  - (2) that DBS Vickers is not an agent of NASDAQ and is not authorized to add to or delete from the NASDAQ subscriber agreement and is not authorized to modify any provision of the NASDAQ subscriber agreement; and
  - (3) that no provision has been added to or deleted from the NASDAQ agreement and that no modifications have been made to it. Both subscriber and the person executing on behalf of Subscriber warrant that Subscriber is legally able to undertake the obligations set forth in, and the signatory is duly authorized to bind Subscriber to, the NASDAQ subscriber agreement; and
- (k) that where applicable, if we choose not to open a Depository Agent Sub-Account with DBS Vickers, we will not be able to furnish DBS Vickers with shares as collateral and DBS Vickers shall not be obliged to accept any shares as collateral. However, if the said Sub-Account is opened, we agree that any maintenance charges (whether monthly or otherwise) as may be imposed by The Central Depository (Pte) Limited shall be charged to us regardless of whether there is any transaction in this account.

We confirm that we have read and understood, and agree to the above.

Date

AUTHORISED SIGNATORIES  
(Please indicate name of authorised signatories and affix company stamp)

#### FOR OFFICIAL USE ONLY

Date Received:	DR Assigned:	Code:	Dealer Recommended Trading Limit:	Group ID:	Client No:
Date Approved/Rejected	Approved/Rejected by	Approved Trading Limit:		Suspense A/C: Yes/No	Category in MTL System
		Sell:	Buy:		
					Risk Management: Yes/No
Remarks					

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