

# New Trading Restrictions on US OTC Securities

Due to Recent Changes to SEC Rule 15c2-11, effective September 7, 2021, we will no longer accept buy orders in certain U.S. over-the-counter (OTC) securities. Please refer to the SEC's [Public Statement](#) and [Press Release](#) for more information. All good-till-date and good-till-cancel buy orders in the affected securities will be cancelled on the effective date.

The OTC securities subject to these restrictions are those currently categorised in the Expert tier by OTC Markets. To view the current list of securities in the Expert tier, please refer to the [OTC Markets website Stock Screener](#) tool and filter by the Expert Market under the MARKETS filter.

In addition, from September 28, 2021, we will no longer accept any orders in securities categorised under the Expert tier. All good-till-date and good-till-cancel orders in the affected securities will be cancelled on the effective date.