

Apply for Joint Securities Account

All fields in Section 1 to 5 are **mandatory**. Please complete in BLOCK letters and countersign against any amendments.

Note: Communication on joint account matters will be sent to the contact details of Main Applicant.

Please select **ONE**:

- Joint-Alternate Account (Requires either account holder to sign) Joint-AND Account (Requires **both** account holders to sign)

1 Main Applicant (Contact details will be used for communication on all CDP Services. They will replace any existing records in CDP.)

Title Dr Mdm Mr Mrs Ms

Name (as in NRIC/Malaysian IC/Passport) _____ Date of Birth / / Gender: M F

NRIC / Malaysian IC / Passport Number _____ Country of Citizenship _____ Singapore PR

(If Passport provided as supporting document) Passport Expiry / / Passport Country of Issue _____

Foreign Identification Number (FIN is required for online access using Singpass) _____

Contact Number + () (Mobile) | (Other) | Email Address _____ (Mandatory)

Residential Address _____ Postal Code _____
(as in NRIC/Malaysian IC)

Mailing Address (complete only if it is different from Residential Address) This will be used for correspondences from CDP.
_____ Postal Code _____

Corporate Actions Mailing Address (complete only if Mailing Address is not in Singapore)
This will be used for companies to send notifications when a Singapore mailing address is required.
_____ Postal Code _____

2 Joint Applicant (Contact details will be used for communication on all CDP Services. They will replace any existing records in CDP.)

Title Dr Mdm Mr Mrs Ms

Name (as in NRIC/Malaysian IC/Passport) _____ Date of Birth / / Gender: M F

NRIC / Malaysian IC / Passport Number _____ Country of Citizenship _____ Singapore PR

(If Passport provided as supporting document) Passport Expiry / / Passport Country of Issue _____

Foreign Identification Number (FIN is required for online access using Singpass) _____

Contact Number + () (Mobile) | (Other) | Email Address _____ (Mandatory)

Residential Address _____ Postal Code _____
(as in NRIC/Malaysian IC)

Mailing Address (complete only if it is different from Residential Address) This will be used for correspondences from CDP.
_____ Postal Code _____

Corporate Actions Mailing Address (complete only if Mailing Address is not in Singapore)
This will be used for companies to send notifications when a Singapore mailing address is required.
_____ Postal Code _____

3 CDP Services (see section 8 for details)

Direct Crediting Service **(Mandatory)**

Please provide a SGD bank account with one of the following banks in Singapore.

- Citibank DBS/POSB HSBC Maybank OCBC Standard Chartered UOB

Bank Account Number **(Mandatory)** _____ (Omit dash)

Currency Conversion Service
(All foreign currencies will be converted to SGD and deposited into DCS bank account), **CDP Internet and Phone Service** will be provided by default.

4 Tax Residency (Mandatory). Please complete the following table indicating ALL Countries where you are a tax resident:

- *All U.S. persons must provide a completed Form W-9, available at <https://www.irs.gov/businesses/corporations/fatca-related-forms>.
- *All non-U.S. persons with U.S. details must provide a completed Form W-8BEN, available at <https://www.irs.gov/businesses/corporations/fatca-related-forms>.
- *Considered no longer U.S. persons must provide 1) Form W-8BEN; and 2) Copy of Certificate of Loss of Nationality of the U.S.; and 3) New Identification Document

Reason A: I am resident of a country/jurisdiction which does not issue TIN to its residents.

Reason B: I am otherwise unable to obtain TIN or equivalent number. (Please explain why you are unable to obtain a TIN if you have selected this reason.)

Reason C: TIN is not required (to be selected only if domestic law of relevant country/jurisdiction of tax residence indicated above does not require the collection of the TIN issued by such country or jurisdiction.)

Main Applicant	Country	TIN or equivalent	If no TIN available, indicate Reason A,B,C	Explanation if Reason B is indicated
Tax Residency 1:				
Tax Residency 2:				
Tax Residency 3:				

Joint Applicant	Country	TIN or equivalent	If no TIN available, indicate Reason A,B,C	Explanation if Reason B is indicated
Tax Residency 1:				
Tax Residency 2:				
Tax Residency 3:				

5 Declaration by Securities Account Applicants

We have read and understood and hereby make the declarations stated in Section 7 (see overleaf). Unless indicated otherwise below, we hereby consent and authorize CDP to provide promotional materials to us and to collect, use and disclose our personal data as set out in Sections 14.7 and 14.8 of the Terms & Conditions for Operation of Securities Account with The Central Depository (Pte) Limited.

We do not wish to receive any promotional materials and information relating to products and/or services from CDP.

Signature (Main Applicant) Name

Signature (Joint Applicant) Name

____ / ____ / ____
D D M M Y Y
Date

6 To be completed by broker (SGX-ST Member) (You do not need to complete this section if you are mailing in the documents)

We confirm that we have witnessed and verified the particulars and signatures of the above Securities Account applicants.

Authorised Signatory & Company Stamp

Name & Designation

____ / ____ / ____
D D M M Y Y
Date

CDP Securities Account Number generated by broker _____

7 Supporting Documents Required

Primary Supporting Documents Required (Both Applicants)	Ways to submit	
	If you visit a broker	If you mail to CDP
<ul style="list-style-type: none"> • Singaporean / Singapore PR – NRIC; or Singapore Armed Forces Identity Card; or Singapore Police Force Identity Card and Passport • Malaysian – Work Pass/Malaysian IC • Other Nationality – Work Pass/Passport 	Original	Photocopy
<p>Secondary Supporting Documents Required (Both Applicants) (must be within the past 3 months and must contain each applicant’s name and current residential address)</p> <p>a) Bank statement from any Monetary Authority of Singapore (“MAS”) licensed banks; or b) Statement from Central Provident Fund (“CPF”); or c) Statement from Inland Revenue Authority of Singapore (“IRAS”)</p> <p>Tax Residency – Any one of the following documents: a) Valid government issued ID (e.g. Driver’s license, Employment Pass/Visa, Student Pass); Or b) Document from government agencies (e.g. Tax assessment, Employment visa).</p> <p>If you have any questions about your tax residency status, please visit the http://www.oecd.org/tax/automatic-exchange/common-reporting-standard/ or consult your tax advisor</p>	N.A.	Original or electronic copy

8 Important Information

Declaration by Securities Account Applicant

1. We declare that we are not under 18 years of age or an undischarged bankrupt. We hereby request you to open and maintain a Securities Account and hereby warrant that we have full capacity and authority to make this application. We represent, warrant and certify that the information provided in this application (including without limitation the information relating to our tax residence status, tax identification number(s), Form(s) W-9 and/or W-8, and all supporting documentation and forms furnished in connection herewith) (collectively, "information") are true, complete and accurate.
2. We have read and understood the CDP Terms & Conditions referred to below which form part of our application for the opening of a Securities Account with CDP, and hereby agree to be bound by them.
3. We agree that the Securities Account will be operated in accordance with the CDP Terms & Conditions referred to below, in force and as may be amended from time to time.
4. For purposes of processing this application and to effect direct crediting services to our bank account in the participating bank specified in Section 3 of this form ("Bank"), we authorise CDP to provide a disclosure to, or seek verification from, our Bank without further reference to us in relation to all the information contained herein (as amended or updated from time to time) and such information as may be required to effect direct crediting services to our bank account. We understand that CDP reserves the right to close the Securities Account if the bank account provided in this form is found to be invalid.
5. We understand that this form will be used as a self-certification form for regulatory requirements under the Foreign Account Tax Compliance Act (FATCA) and Common Reporting Standard (CRS). We acknowledge and agree that the information provided in connection with this application and information regarding the Securities Account may be provided to the Singapore tax authority and exchanged with tax authorities of another country or countries pursuant to intergovernmental, bilateral or multilateral competent authority agreements to exchange financial account information.
6. If any information (including any self-certification) changes or becomes invalid, incorrect, inaccurate and/or unreliable ("Change") in any way, we shall promptly inform CDP in writing within 30 days from the date we had knowledge of the Change and undertake to provide CDP with such information, certification, documents or evidence that CDP may require in connection with such Change.
7. We understand that we may only open and maintain one (1) Joint Securities Account between us. In the event that it is ascertained (whether by CDP or otherwise) that we have an existing Joint Securities Account between us and another application for a Joint Securities Account is made in our names ("Further Application"), we agree that (a) CDP will not open another Joint Securities Account in our names; and (b) CDP will not process the Further Application, save that CDP will rely on the particulars set out in the Further Application and update the particulars in CDP's records with the particulars set out in the Further Application (where they are different). In the event of any discrepancy, CDP shall be entitled to rely on the particulars set out in the Further Application.
8. We agree that in providing our email addresses in this application form, CDP may, for the purposes of reviewing, considering and/or processing our application, communicate with both/ either of us through email at either email address (or both email addresses) listed in this application form. We agree that in the event that any communications, instructions and/or supporting document(s) sent or are purported to be sent by or on behalf of both/ either of us to CDP has been sent by email, CDP will act and shall be considered as being authorised by us/ either of us to rely and act upon the emailed communication or instructions and/or supporting document(s) sent by email without the original, and CDP shall not be liable to us arising from such reliance and acts. CDP has the absolute discretion not to act on or rely on any emailed communication or instructions that do not emanate from the specified email address(es).

CDP Terms & Conditions

Securities Account opening and application for CDP services are subject to the following Terms & Conditions which are available at investors.sgx.com




1. Operations of Securities Account
2. For CDP to act as Depository for Foreign Securities and Depository Receipts
3. SBL Programme for Borrowers and Lenders

CDP Services

1. Direct Crediting Service (DCS) allows you to receive your Singapore Dollar dividend payments and other cash distributions directly into your designated bank account. Please note that DCS is mandatory.
2. Currency Conversion Service ensures that your payouts are always converted into Singapore dollars at competitive rates, and credited directly to your DCS-linked bank account with transaction fees waived.
3. CDP Pre-Settlement Notification Service (PSN) allows you to receive SMS notifications of upcoming transactions in your account. All CDP customers are subscribed to this service by default.
4. CDP Internet and CDP Phone allow you online and phone access to your information. For more information on the services, visit us at investors.sgx.com.
5. If you opt-in under Section 5 and subsequently do not wish to receive promotional materials and information relating to products and services from the Group, please complete the Withdrawal from Direct Marketing Form available at investors.sgx.com and submit to CDP.

9 What happens next?

Attach the required supporting documents to this form, then:

	Mail to CDP The Central Depository (Pte) Limited P.O. Box 2002 Robinson Road Singapore 904002			Pass it to your Broker
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You will receive a CDP notification once your request is processed.